

# Tutorial: Molecular structures and MD of small and larger molecules using DFTB+

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## How to connect to Lired:

1. ssh [sirle@lired.iacs.stonybrook.edu](mailto:sirle@lired.iacs.stonybrook.edu)
2. Password: DFTBwork
3. You should see prompt “[sirle@lired ~]\$
4. Create your own directory, e.g. “mkdir joe” where joe is the directory you will run calculations in
5. cd “joe”

## How to visualize .xyz data files:

- a) MOLDEN
- b) JMOL
- c) ...

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Short instructions for important UNIX commands (I):

**General UNIX commands:**

cd <dir>        change directory to <dir>  
cd ..            Go back one directory  
ls               list files in current directory  
vi <file>       view and edit file <file> (gedit is also available)  
cp <file> <new>  copy file <file> to file <new>  
mkdir <dir>     create directory <dir>

(ask your friends for help if you are lost at any time)

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Short instructions for important UNIX commands (II):

**Important commands:**

dftb+        (the DFTB+ program)  
waveplot    (plotting molecular orbitals, electron densities, etc.)  
gnuplot     (the gnuplot program)

**Visualization: DFTB+ produces .xyz files which can be viewed with  
MOLDEN or JMOL or ...**

**To install MOLDEN on your computers, open:**

<http://qc.chem.nagoya-u.ac.jp>

**Click on “Teaching”**

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## You will see:

1. Lecture I – Introduction ([PDF](#))
- 2.1 Lecture II – Use your computer, see chemistry ([PDF](#))
- 2.2 Example outputs: [h2o.out](#) [h2o-freq.out](#) [benzene.out](#) [nitrobenzene.out](#) [sulflower.out](#) [sulflower-LMO.out](#)
- 2.3 Molden program for MacOSX: [molden5.4\\_MacOSX.tar.gz](#), for Windows: [molden5.0.7\\_native\\_windows.zip](#) or [molden\\_windows\\_nt\\_95.zip](#)
- 2.4 Assignment 1 ([PDF](#))
- 2.5 Introduction to LINUX ([Japanese](#))

To download, you are asked to provide a userid and password.

userid: qcguest

Password: qcigf! (quantum chemistry is great fun!)

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**For visualization of molecular orbitals or densities, you can use VMD or any other software that can plot Gaussian ‘s “cube” files**



VMD is a molecular visualization program for displaying, animating, and analyzing large biomolecular systems using 3-D graphics and built-in scripting. VMD supports computers running MacOS X, Unix, or Windows, is distributed free of charge, and includes source code. ([more details...](#))

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<http://www.ks.uiuc.edu/Research/vmd/>

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## Directories on the server

The following directory structure exists with corresponding inputs for DFTB+ in our home directory /gpfs/home/sirle:

<u>Directory</u>	<u>Description</u>
H2O	Water molecule geometry optimization
formamide	input for formamide rotational barrier calculation
benzene	benzene molecular geometry optimization
C60	C <sub>60</sub> geometry optimization
nanotube	(5,5) nanotube geometry optimization
Fe38	Fe38 geometry optimization
C82	single point calculation for MO visualization
Sc2C2C82	single point calculation for MO visualization
MD	water NVE/NVT BOMD simulations

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## Frequently occurring problems

The location of the DFTB parameter files has to be specified in the `dftb_in.hsd` input file. If the specified directory does not exist or the parameter files do not exist, the program will stop with an error message. The parameter files are stored in the directory

`/gpfs/home/sirle/SKfiles`

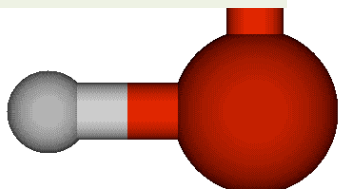
The default maximum number of geometry updates during geometry optimization is 200. You may have to change this to converge your geometries by setting `MaxSteps = 300` (a number larger than 200) in the `Driver{}` Section of `dftb_in.hsd`

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## 1a) H2O single point calculation (input)

### Necessary commands:

```
cd joe
cp -r /gpfs/home/sirle/H2O .
cd H2O
ls
dftb+ > dftb+.out &
```



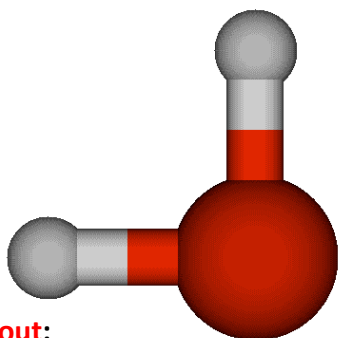
### Geometry file:

```
h2o.gen:
3 C
O H
1 1 0.0 0.0 0.0
2 2 1.0 0.0 0.0
3 2 0.0 1.0 0.0
```

```
dftb_in.hsd: Geometry = GenFormat {
  <<< "h2o.gen"
}
Driver = {}
Hamiltonian = DFTB{
  Charge = 0
  SpinPolarisation{}
  SCC = Yes
  SCCTolerance = 1e-6
  MaxSCCIterations = 100
  Mixer = Broyden{}
  MaxAngularMomentum = {
    O = "p"
    H = "s"
  }
SlaterKosterFiles = Type2FileNames {
  Prefix = "/gpfs/home/sirle/SKfiles/"
  Separator = "-"
  Suffix = ".skf"
  LowerCaseTypeName = No
}
}
```

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## 1a) H2O single point calculation (output)



### dftbp.out:

\*\*\*\*\*

\*\* Geometry step: 0

\*\*\*\*\*

	iSCC Total electronic	Diff electronic	SCC error
1	-0.41146057E+01	0.00000000E+00	0.77431585E+00
2	-0.41189864E+01	-0.43807053E-02	0.56529143E+00
3	-0.41241083E+01	-0.51219356E-02	0.62694765E-02
4	-0.41241090E+01	-0.64734819E-06	0.72216815E-04
5	-0.41241090E+01	-0.85880636E-10	0.52564193E-08

>> Charges saved for restart in charges.bin

Total Energy: -4.0698274647 H  
 Total Mermin free energy: -4.0698274647 H

### detailed.out: Net atomic charges (e)

Atom	Net charge
1	-0.56908879
2	0.28454439
3	0.28454439

### Eigenvalues /eV

-23.02217599  
 -10.69768502  
 -9.04017641  
 -7.06500997  
 7.80946767  
 13.40675941

### Fillings

2.00000  
 2.00000  
 2.00000  
 2.00000  
 0.00000  
 0.00000

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## 1b) H2O geometry optimization

### Necessary commands:

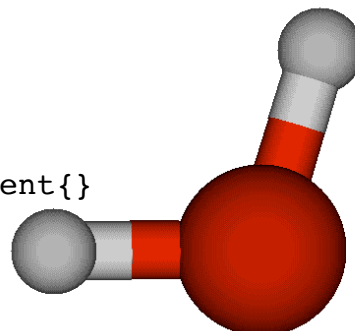
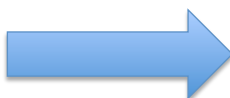
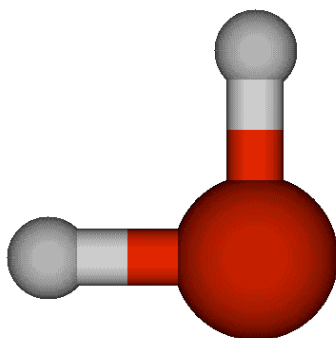
```
vi dftb_in.hsd
(or gedit dftb_in.hsd)
dftb+ > dftb+.out &
```

Edit the dftb\_in.hsd file using the vi command, and change the line:

```
Driver = {}
```

To:

```
Driver = ConjugateGradient{}
```



### Initial geometry:

Total Energy: -4.0698274647 H  
 Total Mermin free energy: -4.0698274647 H  
 Maximal force component: 0.466498E-01

Task: Use MOLDEN to check bond lengths and angles before and after optimization

**Command: moldern geo\_end.xyz**

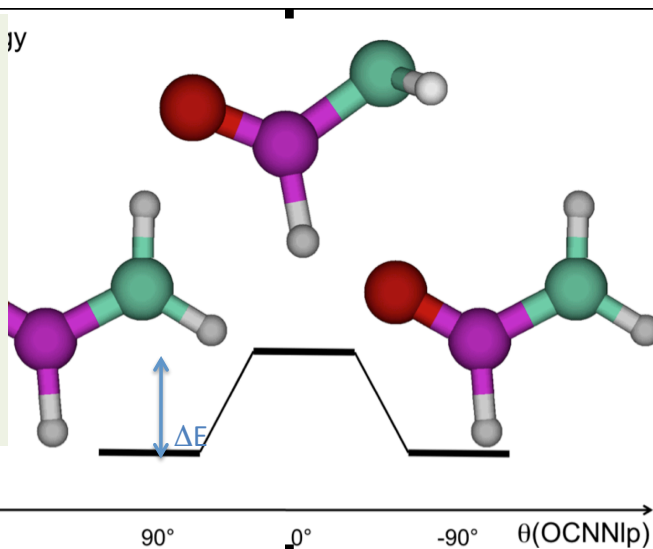
### Optimized geometry, 14 geom. updates:

Total Energy: -4.0779379339 H  
 Total Mermin free energy: -4.0779379339 H  
 Maximal force component: 0.873636E-06

## 1c) rotational barrier in formamide

### Necessary commands:

```
cp -r /gpfs/home/sirle/formamide .
ls
cd formamide/planar
dftb+ > dftb+.out &
<record final energy>
moldern geo_end.xyz
cd ../cd TS
dftb+ > dftb+.out &
<record final energy>
moldern geo_end.xyz
```



### Minimum geometry (planar):

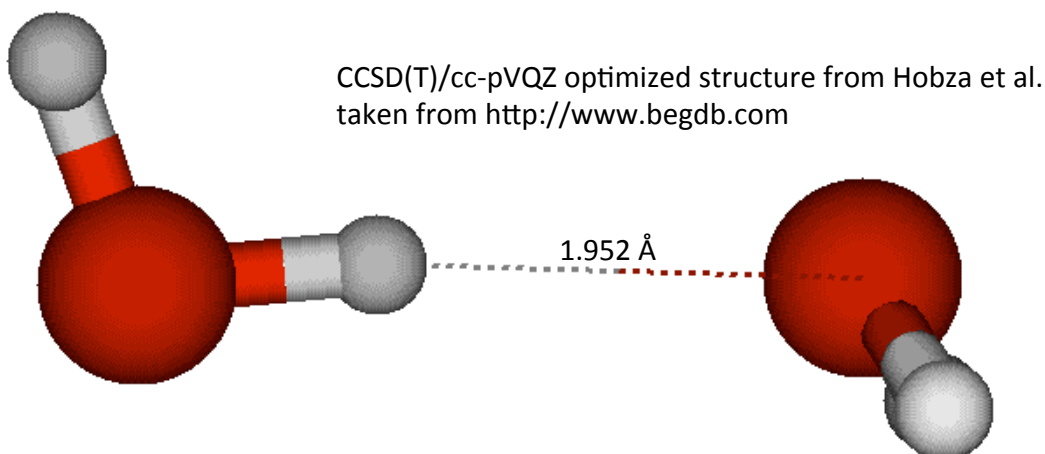
Total Energy: -8.5347931959 H  
 Total Mermin free energy: -8.5347931959 H  
 Maximal force component: 0.686719E-04

### Transition state geometry (TS):

Total Energy: -8.5123283430 H  
 Total Mermin free energy: -8.5123283430 H  
 Maximal force component: 0.448038E-04

$\Delta E = -8.5123283430 - -8.5347931959 \text{ ha} = -0.0224648529 \text{ ha} = 14.1 \text{ kcal/mol}$

## 1d-1) water dimer geometry optimization



Task: Make appropriate input by yourself using H2O optimization (task 1b).

Compute SCC-DFTB binding energy  $BE = -[E_{\text{dimer}} - 2 * E_{\text{monomer}}]$   
 For this conformation:  $E_{\text{dimer}} = -8.1611635663$  ha  
 $BE = -[-8.1611635663 - 2 * (-4.0779379339)]$  ha = .0052876985  
 = 3.3 kcal/mol

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## Slater-Kirkwood dispersion term for SCC-DFTB\*

\*Elstner, M. et al., *J. Chem. Phys.*, **114**, 5149-5155, (2001).

$$E_{\text{dis}}(R_{\alpha\beta}) = - \sum_{\alpha\beta} f(R_{\alpha\beta}) C_6^{\alpha\beta} (R_{\alpha\beta})^{-6}$$

$$f(R_{\alpha\beta}) = [1 - \exp(-3(R_{\alpha\beta}/R_0)^7)]^4$$

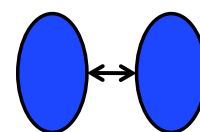
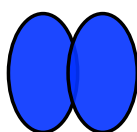
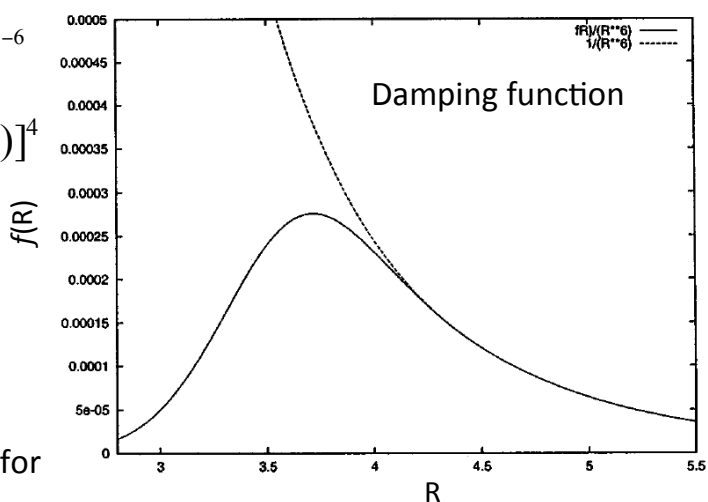
$$C_6^{\alpha\beta} = \frac{2C_6^\alpha C_6^\beta p_\alpha p_\beta}{p_\alpha^2 C_6^\alpha + p_\beta^2 C_6^\beta}$$

$$C_6^\alpha = 0.75 \sqrt{N_\alpha p_\alpha^3}$$

$p_\alpha$ : polarizability (different values for different hybrid states of atoms)

$R_0$ : cutoff distance

$N_\alpha$ : effective number of electrons



Available for C, H, N, O, P (only Pauli repulsion  $\text{PO}_4$ ), and S (not  $\text{SO}_2$ )

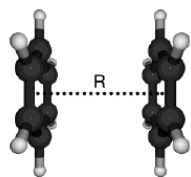
$\sim 1/R^6$  behavior

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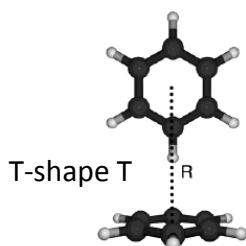
## 1d-2) Dispersion interaction: benzene dimer

benzene/inputs> ls

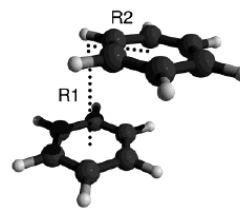
benzene-disp benzene-nodisp PD-disp S-disp T-disp



Sandwich S



T-shape T



Parallel-displaced PD

Task 1:

optimize benzene monomer with and without dispersion interaction; what differences do you observe?

Task 2: Using dispersion interaction, optimize S, PD, and T dimer structures and compare your energies with literature values:

	S	T	PD
BE	1.65	2.69	2.67 [kcal/mol]

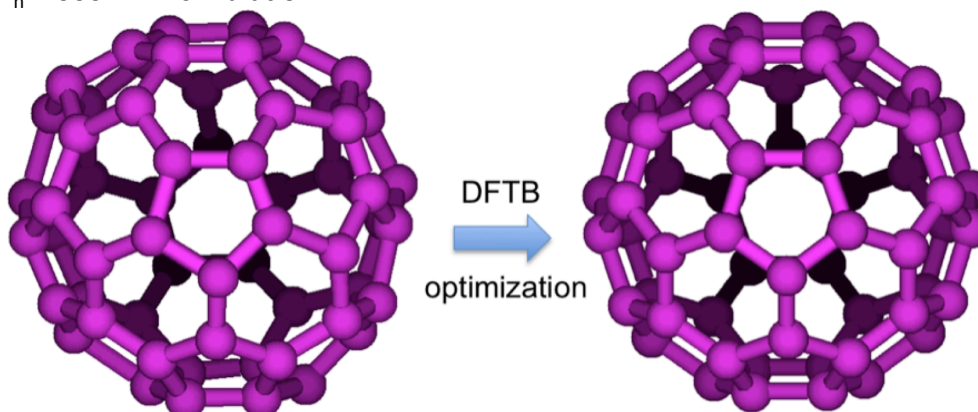
Note that DFTB-D interactions are in the range 3-5 kcal/mol.

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## 2a) C<sub>60</sub> geometry optimization

Directory: C60

From T<sub>n</sub>=2000K MD simulation



**Initial geometry:**

Total Energy: -103.1220165514 H  
 Total Mermin free energy: -103.1220165514 H  
 Maximal force component: 0.639238E-01

**Optimized geometry, 18 geom. updates:**

Total Energy: -103.2321663552 H  
 Total Mermin free energy: -103.2321663552 H  
 Maximal force component: 0.693582E-04<sup>16</sup>



# SCC-DFTB w/fractional orbital occupation numbers

Fractional occupation numbers  $f_i$  of Kohn-Sham eigenstates replace integer  $n_i$

$$\text{TB-eigenvalue equation} \quad \sum_{\nu} c_{\nu i} \left( H_{\mu\nu} - \varepsilon_i S_{\mu\nu} \right) = 0$$

$$E_{tot} = 2 \sum_i f_i \varepsilon_i + E_{rep} + \frac{1}{2} \sum_{\alpha\beta} \gamma_{\alpha\beta} \Delta q_{\alpha} \Delta q_{\beta}$$

Finite temperature approach (Mermin free energy  $E_{Mermin}$ )

M. Weinert, J. W. Davenport, *Phys. Rev. B* **45**, 13709 (1992)

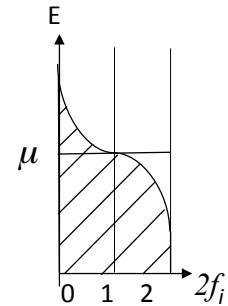
$$f_i = \frac{1}{\exp[(\varepsilon_i - \mu)/k_B T_e] + 1}$$

$T_e$ : electronic temperature  
 $S_e$ : electronic entropy

$$0 \leq f_i \leq 1$$

$$E_{Mermin} = E_{tot} - T_e S_e$$

$$S_e = -2k_B \sum_i f_i \ln f_i + (1 - f_i) \ln(1 - f_i)$$



Atomic force

$$\vec{F}_{\alpha} = -2 \sum_i f_i \sum_{\mu\nu} c_{\mu i} c_{\nu i} \left[ \frac{\partial H_{\mu\nu}^0}{\partial R_{\alpha}} - \left( \varepsilon_i - \frac{H_{\mu\nu}^1}{S_{\mu\nu}} \right) \frac{\partial S_{\mu\nu}}{\partial R_{\alpha}} \right] - \Delta q_{\alpha} \sum_{\xi} \frac{\partial \gamma_{\alpha\xi}}{\partial R_{\alpha}} \Delta q_{\xi} - \frac{\partial E_{rep}}{\partial R_{\alpha}}$$

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## 2b) Oxidation of (5,5) SWCNT

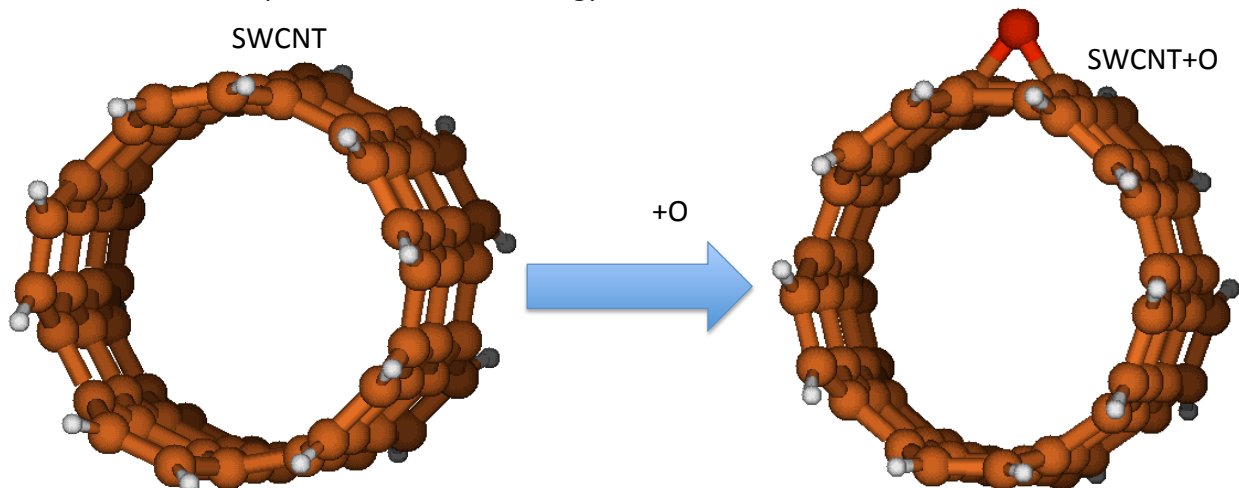
Directories: nanotube/inputs/pristine & oxidized & o\_atom

**Important: Need to use electronic temperature  $T_e = 1000$  K or similar to obtain SCC convergence**

O Atom: SCC-DFTB energy: -3.0982859370 ha

SWCNT: optimized SCC-DFTB energy: -128.1960247645 ha

Oxidized SWCNT: optimized SCC-DFTB energy: -131.4584

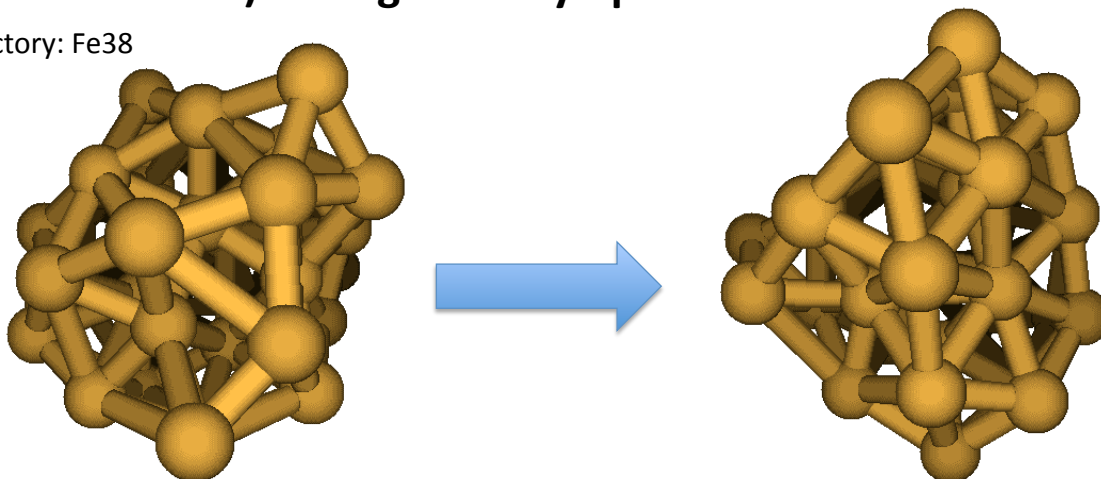


$$\text{Binding energy BE} = -[E(\text{SWCNT+O}) - E(\text{SWCNT}) - E(\text{O})] = 103.0 \text{ kcal/mol}$$

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## 2c) Fe38 geometry optimization

Directory: Fe38



### Initial geometry:

Total Energy: -55.2723102833 H  
Total Mermin free energy: -55.7289532045 H  
Maximal force component: 0.736021E-01

### Optimized geometry, $T_e=1500K$ , 144 geom. updates:

Total Energy: -55.6471927268 H  
Total Mermin free energy: -56.0689013490 H  
Maximal force component: 0.575369E-04

## 2d) MO plots of $C_{82}$ and $Sc_2C_2@C_{82}$

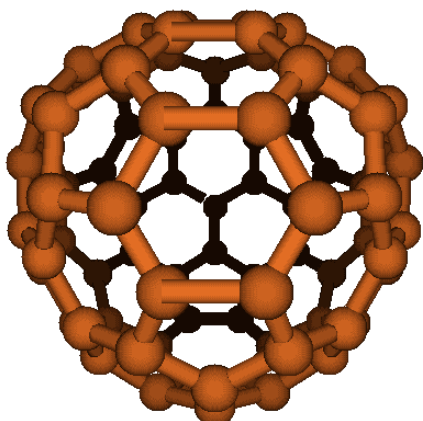
Directories: **C82** and **Sc2C2C82**, subdirectories input and input/orbital in both

**Important: Need to use electronic temperature  $T_e = 1000$  K or similar to obtain SCC convergence**

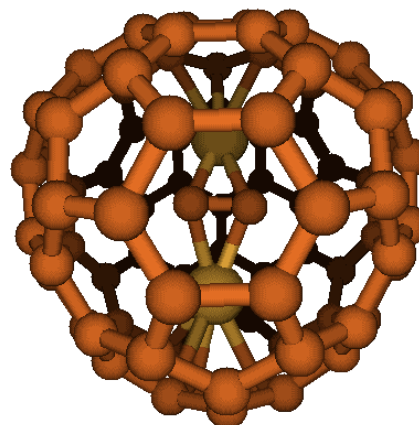
Write MO's in dftb\_in.hsd:

```
Options = {  
  WriteDetailedXML = Yes  
  WriteEigenvectors = Yes  
}
```

Bonus: Calculate binding energy of C2 and Sc atoms:  
 $BE = -[E(Sc_2C_2@C_{82}) - E(C_{82}) - 2E(Sc) - E(C_2)]$



C82



Sc2C2@C82

# Sample "waveplot\_in.hsd"

```
# General options

Options = {
  TotalChargeDensity = Yes      # Total density be plotted?
  TotalChargeDifference = Yes   # Total density difference plotted?
  ChargeDensity = Yes          # Charge density for each state?
  RealComponent = Yes          # Plot real component of the wavefunction
  PlottedSpins = { 1 -1 }
  PlottedLevels = { 11 14 15 16 17 18 } # Levels to plot
  PlottedRegion = OptimalCuboid {} # Region to plot

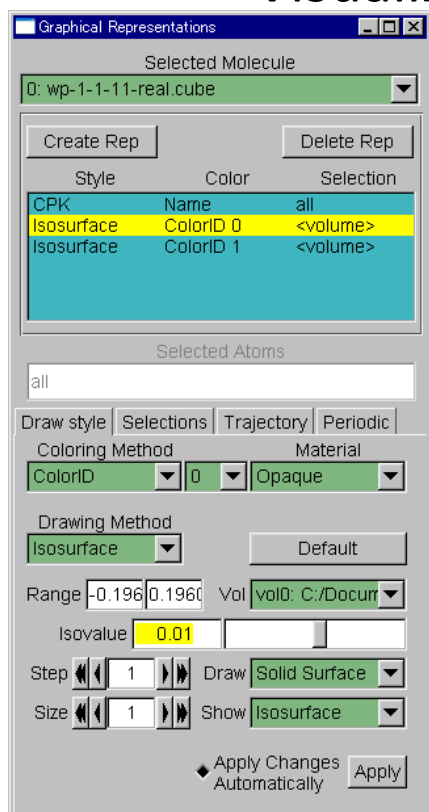
  NrOfPoints = { 50 50 50 }    # Number of grid points in each direction
  NrOfCachedGrids = -1        # Nr of cached grids (speeds up things)
  Verbose = Yes                # Wanna see a lot of messages?
}

DetailedXML = "detailed.xml"   # File containing the detailed xml output of DFTB+
EigenvecBin = "eigenvec.bin"  # File containing the binary eigenvecs

# Definition of the basis
Basis = {
  Resolution = 0.01
  # Including mio-0-1.hsd. (If you use a set, which depends on other sets,
  # the wfc.*.hsd files for each required set must be included in a similar
  # way.)
  <<+ "wfc.mio-0-1.hsd"
}
```

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## Visualize the MOs with VMD



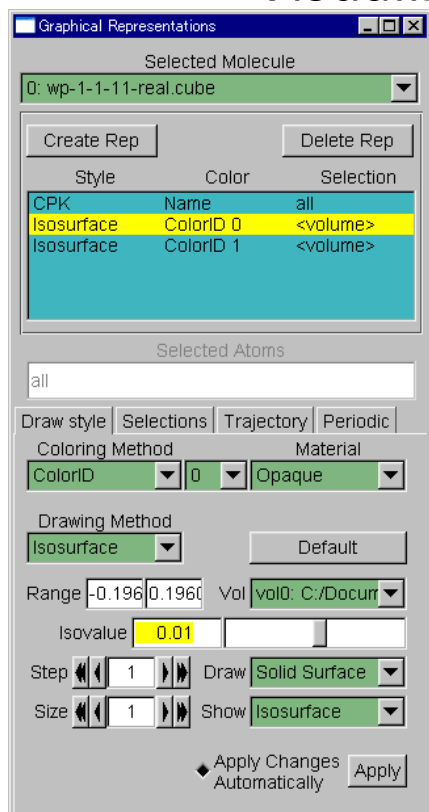
**Note: Run these commands on your Terminal!**

- Start VMD: Run All Programs/University-of-Illinois/VMD/VMD 1.8.7
- File →New Molecule, then load the the desired cube file from (follow the procedure below)
  - Browse
  - My computer
  - Z: drive (long name with Z: at the end, double-click)
  - terminal\_<color>\_<M> (see label on your terminal)
  - C82 (or Er2C2C82) (double-click)
  - input (double-click)
  - orbital (double-click)
  - select desired cube file

**Note: the location of your files will depend on the directory in which you ran the DFTB+ and waveplot programs**

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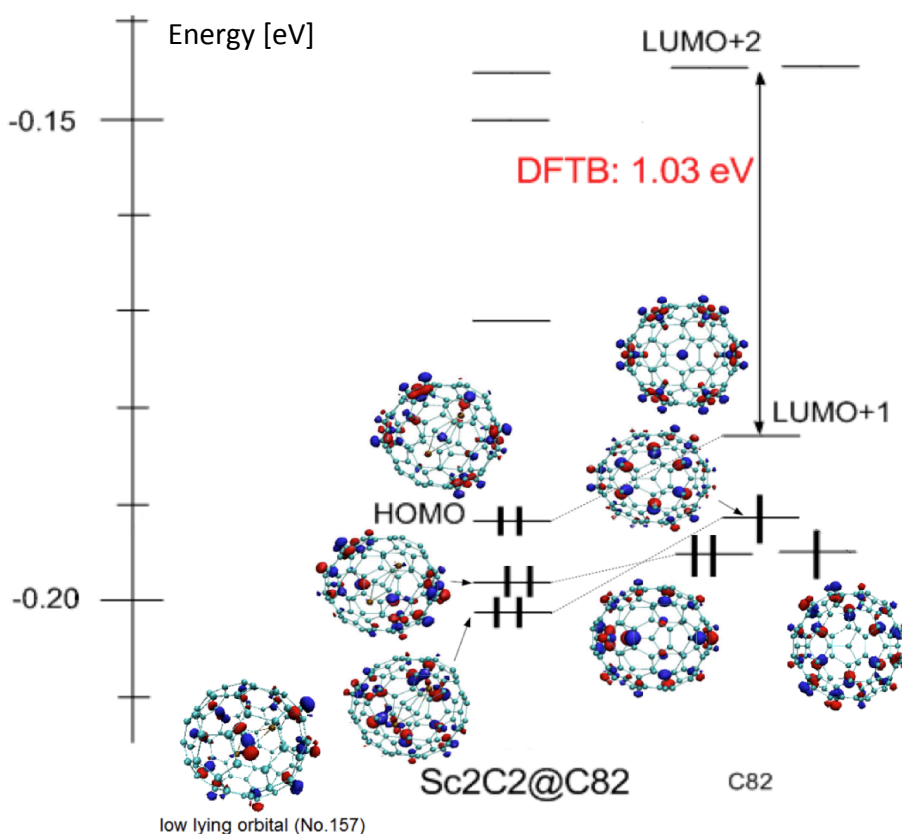
# Visualize the MOs with VMD



- Graphics →Representations, then choose the drawing method (here CPK)
- Push the “Create Rep” button
- Change the drawing method “Isosurface”, then change the draw type from Points to Solid surfaces and show type to Isosurface
- Change the coloring method from Name to Color ID (color 0:blue)
- Set the Isovalue (positive number; for example, 0.01 etc.)
- Perform the same thing for negative Isovalue (for example, -0.01, color 1: red)

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## 2d) MO plots of $C_{82}$ and $Sc_2C_2@C_{82}$

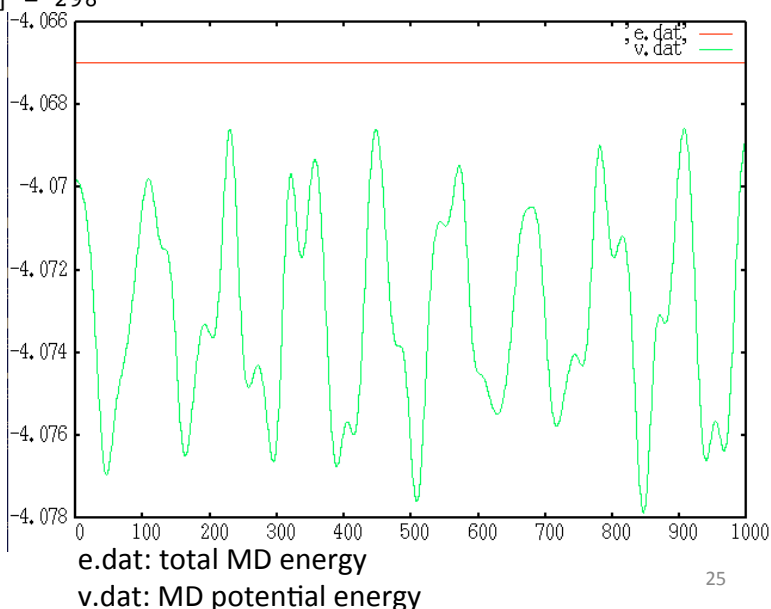
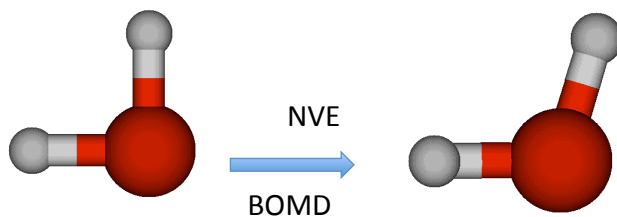


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### 3a) H2O NVE SCC-DFTB/MD simulation

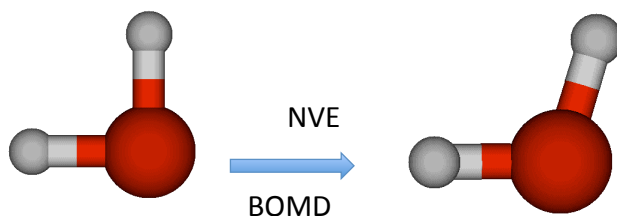
```

dftb_in.hsd:      Directory: MD/NVE
Geometry = GenFormat {
  <<< "h2o.gen"
}
Driver = VelocityVerlet{
  Steps = 999
  TimeStep [Femtosecond] = 0.10
  Thermostat = None {
    InitialTemperature [Kelvin] = 298
  }
OutputPrefix = "geo_stp"
}
Hamiltonian = DFTB{
  Charge = 0
  SpinPolarisation{}
  SCC = Yes
  SCCTolerance = 1e-6
  MaxSCCIterations = 100
  Mixer = Broyden{}
  MaxAngularMomentum = {
    O = "p"
    H = "s"
  }
}
SlaterKosterFiles = {
  O-O = "../SKfiles/O-O.skf"
  O-H = "../SKfiles/O-H.skf"
  H-O = "../SKfiles/H-O.skf"
  H-H = "../SKfiles/H-H.skf"
}
}
  
```



### 3a) H2O NVE SCC-DFTB/MD simulation

dftb\_in.hsd:



```

T: grep "Kinetic Energy:" md.out |awk '{print $4}' > t.dat
V: grep "Potential Energy:" md.out |awk '{print $3}' > v.dat
E: grep "Total MD Energy:" md.out |awk '{print $4}' >
e.dat
  
```

$$E = T + V$$

E is conserved in NVE (microcanonical) simulations

Visualization in gnuplot:

```

Type "gnuplot"
gnuplot> set style data lines
plot 'v.dat', 'e.dat'
plot 't.dat'
exit
  
```

Interpret your results. How are T and V related?

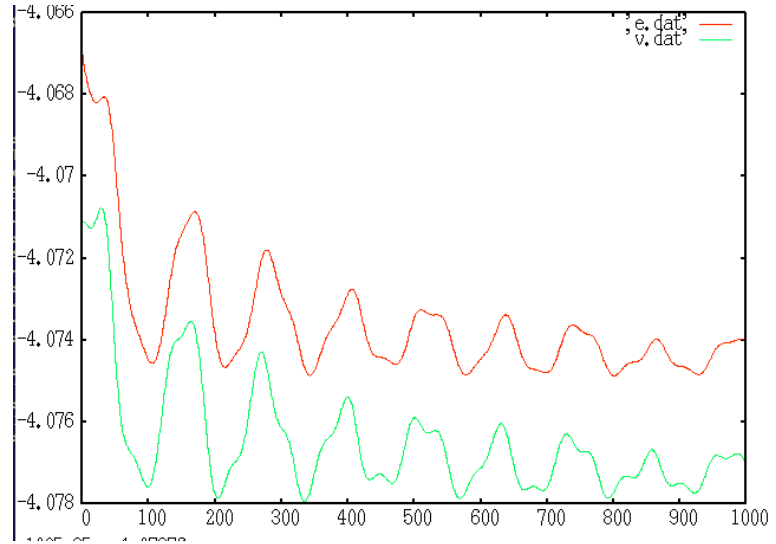
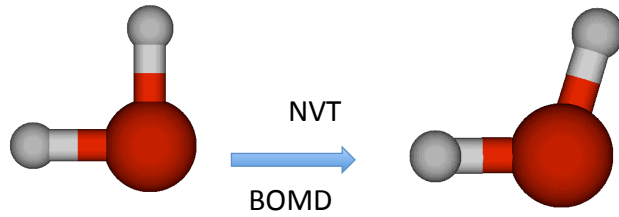
### 3b) H2O NVT SCC-DFTB/MD simulation

dftb\_in.hsd: Directory: MD/NVT

```

Geometry = GenFormat {
  <<< "h2o.gen"
}
Driver = VelocityVerlet{
  Steps = 999
  TimeStep [Femtosecond] = 0.10
  Thermostat = Berendsen {
    Temperature [K] = 298.0
    CouplingStrength = 0.1
  }
  Velocities [AA/ps] = {
    <<< "VELOC.DAT"
  }
OutputPrefix = "geo_stp"
}

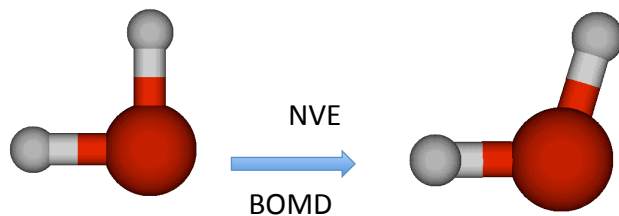
Hamiltonian = DFTB{
  Charge = 0
  SpinPolarisation{}
  SCC = Yes
  SCCTolerance = 1e-6
  MaxSCCIterations = 100
  Mixer = Broyden{}
  MaxAngularMomentum = {
    O = "p"
    H = "s"
  }
}
SlaterKosterFiles = {
  O-O = "../SKfiles/O-O.skf"
  O-H = "../SKfiles/O-H.skf"
  H-O = "../SKfiles/H-O.skf"
  H-H = "../SKfiles/H-H.skf"
}
  
```



e.dat: total MD energy  
v.dat: MD potential energy

### 3b) H2O NVT SCC-DFTB/MD simulation

dftb\_in.hsd:



E is NOT conserved in NVT simulations, but T is:

$$\frac{1}{2} m v^2 = 3/2 k_b T$$

$$\delta = \left[ 1 + \frac{\Delta t}{\tau_T} \left\{ \frac{T_0}{T(t-\Delta t)} - 1 \right\} \right]^{1/2}, \quad v_i = \delta v_i$$

In case of one water molecule, 1 au = 105258.2 K

awk '{print \$1\*105258.2}' t.dat > temp.dat

Interpret your results. Try different values of the coupling constant  $\tau_T$ .

What is the influence of  $\tau_T$ ?

